

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44*bis*)

Applicant's or agent's file reference FS05-427PCT	<b>FOR FURTHER ACTION</b>	See item 4 below
International application No. PCT/JP2005/003848	International filing date ( <i>day/month/year</i> ) 07 March 2005 (07.03.2005)	Priority date ( <i>day/month/year</i> ) 08 March 2004 (08.03.2004)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant JAPAN SCIENCE AND TECHNOLOGY AGENCY		

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 <i>bis</i> .1(a).																								
2.	<p>This REPORT consists of a total of 4 sheets, including this cover sheet.</p> <p>In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.</p>																								
3.	<p>This report contains indications relating to the following items:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 30%;">Box No. I</td> <td style="width: 60%;">Basis of the report</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. II</td> <td>Priority</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. IV</td> <td>Lack of unity of invention</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VI</td> <td>Certain documents cited</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VII</td> <td>Certain defects in the international application</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </table>	<input checked="" type="checkbox"/>	Box No. I	Basis of the report	<input type="checkbox"/>	Box No. II	Priority	<input type="checkbox"/>	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	<input type="checkbox"/>	Box No. IV	Lack of unity of invention	<input checked="" type="checkbox"/>	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	<input type="checkbox"/>	Box No. VI	Certain documents cited	<input type="checkbox"/>	Box No. VII	Certain defects in the international application	<input type="checkbox"/>	Box No. VIII	Certain observations on the international application
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<input type="checkbox"/>	Box No. VIII	Certain observations on the international application																							
4.	The International Bureau will communicate this report to designated Offices in accordance with Rules 44 <i>bis</i> .3(c) and 93 <i>bis</i> .1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44 <i>bis</i> .2).																								

<p style="text-align: center;">The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No. +41 22 338 82 70</p>	<p>Date of issuance of this report 29 November 2006 (29.11.2006)</p> <p>Authorized officer  Yoshiko Kuwahara</p> <p>e-mail: pt07@wipo.int</p>
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# PATENT COOPERATION TREATY

From the  
INTERNATIONAL SEARCHING AUTHORITY

**TRANSLATION**

PCT

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**FS05-427PCT**

**FOR FURTHER ACTION**

See paragraph 2 below

International application No.

**PCT/JP2005/003848**

International filing date (day/month/year)

**07.03.2005**

Priority date (day/month/year)

**08.03.2004**

International Patent Classification (IPC) or both national classification and IPC

Applicant

**JAPAN SCIENCE AND TECHNOLOGY AGENCY**

1. This opinion contains indications relating to the following items:

- |                                     |              |  |
|-------------------------------------|--------------|--|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the opinion   |
| <input type="checkbox"/>            | Box No. II   | Priority   |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability   |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention   |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited  |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application   |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application  |

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/JP

Authorized officer

Facsimile No.

Telephone No.

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.

PCT/JP2005/003848

**Box No. I      Basis of this opinion**

1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.  
  
☐ This opinion has been established on the basis of a translation from the original language into the following language  
\_\_\_\_\_, which is the language of a translation furnished for the purposes of international search (under Rule 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material  
☐ a sequence listing  
☐ table(s) related to the sequence listing
  - b. format of material  
☐ in written format  
☐ in computer readable form
  - c. time of filing/furnishing  
☐ contained in the international application as filed.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

## International application No.

Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement							
1.	Statement							
	Novelty (N)	<table border="0"> <tr> <td>Claims</td><td><u>4, 8, 9, 11</u></td><td>YES</td></tr> <tr> <td>Claims</td><td><u>1-3, 5-7, 10, 12</u></td><td>NO</td></tr> </table>	Claims	<u>4, 8, 9, 11</u>	YES	Claims	<u>1-3, 5-7, 10, 12</u>	NO
Claims	<u>4, 8, 9, 11</u>	YES						
Claims	<u>1-3, 5-7, 10, 12</u>	NO						
	Inventive step (IS)	<table border="0"> <tr> <td>Claims</td><td><u>8, 9, 11</u></td><td>YES</td></tr> <tr> <td>Claims</td><td><u>1-7, 10, 12</u></td><td>NO</td></tr> </table>	Claims	<u>8, 9, 11</u>	YES	Claims	<u>1-7, 10, 12</u>	NO
Claims	<u>8, 9, 11</u>	YES						
Claims	<u>1-7, 10, 12</u>	NO						
	Industrial applicability (IA)	<table border="0"> <tr> <td>Claims</td><td><u>1-12</u></td><td>YES</td></tr> <tr> <td>Claims</td><td><u></u></td><td>NO</td></tr> </table>	Claims	<u>1-12</u>	YES	Claims	<u></u>	NO
Claims	<u>1-12</u>	YES						
Claims	<u></u>	NO						
2.	Citations and explanations:							
	<p>Document 1: WO, 2002-066158, A1 (Wako Pure Chemical Industries, Ltd., Osamu KOBAYASHI), 29 August, 2002 (29.08.02)</p> <p>Document 2: JP, 06-015184, A (Rohm and Hass Co.), 25 January, 1994 (25.01.94)</p> <p>Document 3: JP, 2003-510167, A (BASF AG.), 18 March, 2003 (18.03.03)</p> <p>Document 4: WO, 2002-072259, A1 (Wako Pure Chemical Industries, Ltd., Osamu KOBAYASHI), 19 September, 2002 (19.09.02)</p> <p>Document 5: WO, 2002-072644, A1 (Zeria pharmaceutical Co., Ltd.), 19 September, 2002 (19.09.02)</p> <p>The subject matters of claims 1-3 and 10 do not appear to be novel or to involve an inventive step, since they are described in documents 1-3 cited in the ISR. Furthermore, since adjusting and optimizing the diameter of particles in response to the purpose are a matter which a person skilled in the art generally practises, a person skilled in the art could have easily invented the subject matter of claim 4 from the descriptions of documents 1 and 2.</p> <p>In addition, the subject matter of claim 12 is described in documents 1 and 3, and the subject matters of claims 5-7 are described in documents 2 and 3, respectively.</p> <p>The subject matters of claims 8, 9 and 11 are neither described in any of documents 1-5 nor obvious to a person skilled in the art. Especially, crosslinking a crosslinkable polymer having hydrophilic chains having a particular crosslinking functional group and being used in a metal cluster composition, are neither described nor suggested.</p>							